

Name of Person Filing Philip Gruber

File Number U

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employee your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name Northern Capital Management, LLC

Trade Name, If any:

P.O. Box, Bldg., Room No., If any Suite 300

Street 8018 Excelsior Drive

City Madison

State Wisconsin

ZIP Code + 4 53717-1951

## 9. Business deals with:

 a. Labor Organization b. Trust c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name IAM National Pension Fund

Trade Name, If any:

P.O. Box, Bldg., Room No., If any

Street 1300 Connecticut Avenue, NW

City Washington

State District of Columbia

ZIP Code + 4 20036

## 11.a. Nature of such dealing.

Investment management services to IAM Pension Fund, which is jointly managed fund that provides pension benefits to IAM-represented employees.

## 11.b. Approximate dollar value of such dealing.

## 12.a. Nature of Interest held or income received.

Bunch 2/19/04

Cost listed is approximate.

## 12.b. Amount.

\$30

## C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

## 13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, If any:

P.O. Box, Bldg., Room No., If any

Street

City

State

ZIP Code + 4

## 14.a. Nature of payment.

13.b. Is the Business an Employer or Consultant 

?

## 14.b. Amount of payment.

## Part B Continuation Page

B. Hold an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name **Lazard Asset Management**Trade Name, if any: P.O. Box, Bldg., Room No., if any: Street **30 Rockefeller Plaza**City **New York**State **New York**ZIP Code + 4 **10112-6300**

## 9. Business deals with:

 a. Labor Organization b. Trust c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name **IAM National Pension Fund**Trade Name, if any: P.O. Box, Bldg., Room No., if any: Street City State ZIP Code + 4 

## 11.a. Nature of such dealing.

Past and/or prospective investment management services to IAM National Pension Fund.

## 11.b. Approximate dollar value of such dealing.

## 12.a. Nature of interest held or income received.

Dinners 3/9/04 and 8/31/04

Amount listed below is approximate.

## 12.b. Amount.

\$200

## Part B Continuation Page

8. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name Diekemper Hamond and Shinners

Trade Name, if any:

P.O. Box, Bldg., Room No., if any Suite 200

Street 7730 Carondelet Avenue

City St. Louis

State Missouri

ZIP Code + 4 63105

## 9. Business deals with:

- a. Labor Organization  
 b. Trust  
 c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

Law firm provides legal services to International Union and various district lodges.

## 11.b. Approximate dollar value of such dealing.

Dinner 6/2/04

Amount listed below is approximate.

## 12.b. Amount.

\$100

## Part B Continuation Page

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## 8. Name and address of Business (including trade name, if any).

Name **MD Sass**  
 Trade Name, if any:   
 P.O. Box, Bldg., Room No., if any **18th Floor**  
 Street **1185 Avenue of the Americas**  
 City **New York**  
 State **New York** ZIP Code + 4 **10036**

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name **IAM National Pension Fund**  
 Trade Name, if any:   
 P.O. Box, Bldg., Room No., if any   
 Street   
 City   
 State  ZIP Code + 4

## 9. Business deals with:

- a. Labor Organization  
 b. Trust  
 c. Employer

## 11.a. Nature of such dealing.

**past and/or prospective investment management services to IAM Pension.**

## 11.b. Approximate dollar value of such dealing.

**2 dinners and entertainment 9/9/04, 11/10/04 and 11/11/04**

**Amount listed below is approximate.**

## 12.b. Amount.

**\$400**

## Part B Continuation Page

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## 8. Name and address of Business (including trade name, if any).

Name **Invesco**

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street **1360 Peachtree Street, NE**City **Atlanta**State **Georgia**ZIP Code + 4 **30309**

## 9. Business deals with:

 a. Labor Organization b. Trust c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name **IAM National Pension Fund**

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State:

ZIP Code + 4

## 11.a. Nature of such dealing.

**Past and/or prospective investment management services for IAM Pension.**

## 11.b. Approximate dollar value of such dealing.

**Sports outing 10/6/04****Amount listed below is approximate.**

## 12.b. Amount.

**\$100**

Name of Person Filing Philip Gruber

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## Part B Continuation Page

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## 8. Name and address of Business (including trade name, if any).

Name Missouri Valley Partners

Trade Name, If any:

P.O. Box, Bldg., Room No., if any PO Box 16901, Suite 500

Street 135 N. Meramec

City St. Louis

State Missouri

ZIP Code + 4 63105

## 9. Business deals with:

- a. Labor Organization  
 b. Trust  
 c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name IAM National Pension Fund

Trade Name, If any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

past and/or prospective investment management services to IAM Pension.

## 11.b. Approximate dollar value of such dealing.

## 12.a. Nature of interest held or income received.

Dinner 11/13/04

Amount listed below is approximate.

## 12.b. Amount

\$150

## Part B Continuation Page

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## 8. Name and address of Business (including trade name, if any).

Name Fox Asset Management

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street 44 Sycamore Avenue

City Little Silver

State New Jersey

ZIP Code + 4 07739-1220

## 9. Business deals with:

 a. Labor Organization b. Trust c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name IAM National Pension Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State:

ZIP Code + 4

## 11.a. Nature of such dealing.

Past and/or prospective investment services for IAM Pension.

## 11.b. Approximate dollar value of such dealing.

## 12.a. Nature of interest held or income received.

Dinner 11/18/04

Amount listed below is approximate.

## 12.b. Amount

\$100

Name of Person Filing: Phillip Gruber

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## Part B Continuation Page

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## 8. Name and address of Business (including trade name, if any).

Name: Goldenberg, Miller PC

Trade Name, if any:

P.O. Box, Bldg., Room No., if any: PO Box 959

Street: 2227 South State Route 157

City: Edwardsville

State: Illinois ZIP Code + 4: 62025

## 9. Business deals with:

- a. Labor Organization  
 b. Trust  
 c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State:

ZIP Code + 4:

## 11.a. Nature of such dealing.

Law firm provides legal services and workers compensation representation for members of IAM Lodge.

## 11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Gift Basket

Amount listed below is approximate.

## 12.b. Amount.

\$100

## Part B Continuation Page

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## 8. Name and address of Business (including trade name, if any).

Name Globalt Investments

Trade Name, If any:

P.O. Box, Bldg., Room No., If any Suite 225

Street 3060 Peachtree Road, NW

City Atlanta

State Georgia

ZIP Code + 4 30305

## 9. Business deals with:

 a. Labor Organization b. Trust c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name IAM National Pension Fund

Trade Name, If any:

P.O. Box, Bldg., Room No., If any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

Past and/or prospective investment services to IAM Pension.

## 11.b. Approximate dollar value of such dealing.

Dinner 3/18/04

Amount listed below is approximate.

## 12.b. Amount.

\$75

Name of Person Filing: Phillip Gruber

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## Part B Continuation Page

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## 9. Name and address of Business (including trade name, if any).

Name: Globalt Investments

Trade Name, if any:

P.O. Box, Bldg., Room No., if any: Suite 225

Street: 3060 Peachtree Road, NW

City: Atlanta

State: Georgia

ZIP Code + 4: 30305

## 9. Business deals with:

 a. Labor Organization b. Trust c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name: IAM National Pension Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State:

ZIP Code + 4:

## 11.a. Nature of such dealing.

Past and/or prospective investment services to IAM Pension

## 11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Dinner: 3/18/04

Amount listed below is approximate.

## 12.b. Amount.

\$75

Name of Person Filing Philip Gruber

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**Part B Continuation Page**

**6. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employee your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.**

**8. Name and address of Business (including trade name, if any).**Name **Chicago Equity Partners**Trade Name, If any: P.O. Box, Bldg., Room No., If any **Suite 3800**Street **180 N. LaSalle Street**City **Chicago**State **Illinois**ZIP Code + 4 **9. Business deals with:**

- a. Labor Organization  
 b. Trust  
 c. Employer

**10. If 9.b. or 9.c. is checked give trust or employer's name.**Name **IAM National Pension Fund**Trade Name, If any: P.O. Box, Bldg., Room No., If any Street City State ZIP Code + 4 **11.a. Nature of such dealing.****Past and/or prospective investment services to IAM Pension.****11.b. Approximate dollar value of such dealing.****12.a. Nature of interest held or income received.****Meals and entertainment: 5/24; 7/2; 8/3; 11/1.****Amount listed below.****12.b. Amount.****\$300**

## Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

## 8. Name and address of Business (including trade name, if any).

Name **LDI Pharmacy Services**

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street **300 South Grand**City **St. Louis**State **Missouri**ZIP Code + 4 **63103**

## 9. Business deals with:

 a. Labor Organization b. Trust c. Employer

## 10. If 9.b. or 9.c. is checked give trust or employer's name.

Name **IAM National Benefit Trust**

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

## 11.a. Nature of such dealing.

**past and/or prospective services to IAM Benefit Trust.**

## 11.b. Approximate dollar value of such dealing.

## 12.a. Nature of interest held or income received.

**Dinner 10/13/04****Amount listed below is approximate.**

## 12.b. Amount.

**\$60**

